

Equation of tangent plane:
for implicitly defined surfaces
section 12.9

Some surfaces are defined implicitly, such as the sphere $x^2 + y^2 + z^2 = 1$. In general an implicitly defined surface has the equation $F(x, y, z) = 0$. Consider a point $P = (x_0, y_0, z_0)$ on the surface.

Suppose that the surface has a tangent plane at the point P . The tangent plane cannot be at the same time perpendicular to three plane xy , xz , and yz . Without loss of generality assume that the tangent plane is not perpendicular to the xy -plane. Now consider two lines L_1 and L_2 on the tangent plane.

Draw a plane π_1 through the line L_1 and perpendicular to the xy -plane. The plane π_1 cuts a curve C_1 out of the surface. The curve C_1 is through the point P . If $r(t) = \langle x(t), y(t), z(t) \rangle$ is parametrization for the curve C_1 with $r(t_0) = P$, then since the points of C_1 are on the surface, we have $F(x(t), y(t), z(t)) = 0$. Differentiating with respect to the parameter t gives:

$$\begin{aligned} 0 &= \frac{d}{dt}F(x(t), y(t), z(t)) \\ &= \frac{\partial F}{\partial x} \frac{dx}{dt} + \frac{\partial F}{\partial y} \frac{dy}{dt} + \frac{\partial F}{\partial z} \frac{dz}{dt} \\ &= F_x(x(t), y(t), z(t)) x'(t) + F_y(x(t), y(t), z(t)) y'(t) + F_z(x(t), y(t), z(t)) z'(t) \end{aligned}$$

This shows that the vector $\nabla F(x(t), y(t), z(t))$ is perpendicular to the vector $r'(t) = \langle x'(t), y'(t), z'(t) \rangle$. Especially, at $t = t_0$. we will have that the vector ∇F at P is perpendicular to $r'(t_0)$. But, the vector $r'(t_0)$ is tangent to the curve C_1 and therefore is on the line L_1 as the line L_1 is tangent to C_1 . So then, $\nabla F(P)$ is perpendicular to L_1 .

Similarly one can show that $\nabla F(P)$ is perpendicular to L_2 . So, the vector $\nabla F(P)$ is perpendicular to two lines on the plane, therefore it must be perpendicular to the plane.

tangent plane at (a,b,c)

$$F_x(a, b, c)(x - a) + F_y(a, b, c)(y - b) + F_z(a, b, c)(z - c) = 0$$

Since the gradient vector is perpendicular to the tangent plane, we can say that:

Theorem. The gradient vector is perpendicular to any point of an implicitly defined surface $F(x, y, z) = 0$.

This equation shows that the vector $\langle F_x, F_y, F_z \rangle$ is the normal vector of the tangent plane.

Note. If $f(x, y) = k$ is the equation of a curve in the plane xy , then similarly one can show that the equation of the tangent line at (a, b) is:

$$f_x(a, b)(x - a) + f_y(a, b)(y - b) = 0$$

Example. Find the equation of the the tangent line to the ellipse $x^2 + 2y^2 = 6$ at the point $(2, 1)$.

Solution. Set $f(x, y) = x^2 + 2y^2$. Then:

$$\begin{cases} f_x = 2x \\ f_y = 4y \end{cases} \Rightarrow \begin{cases} f_x(2, 1) = 4 \\ f_y(2, 1) = 4 \end{cases}$$

Then the equation of the tangent plane will be:

$$4(x - 2) + 4(y - 1) = 0 \Rightarrow x + y = 3$$

Example . Find the equation of the the tangent line to the ellipsoid $\frac{x^2}{4} + y^2 + \frac{z^2}{9} = 3$ at the point $(-2, 1, -3)$.

Solution. Set $F(x, y, z) = \frac{x^2}{4} + y^2 + \frac{z^2}{9}$. So, the surface is implicitly written as $F(x, y, z) = 3$

$$\begin{cases} F_x = \frac{x}{2} \\ F_y = 2y \\ F_z = \frac{2z}{9} \end{cases}$$

These derivatives at the point $(-2, 1, -3)$ become:

$$\begin{cases} F_x = -1 \\ F_y = 2 \\ F_z = -\frac{2}{3} \end{cases}$$

Then the equation of the tangent plane:

$$-(x+2) + 2(y-1) - \frac{2}{3}(z+3) = 0 \quad \Rightarrow \quad 3x - 6y + 2z + 18 = 0$$

And the equation of the normal line:

$$\frac{x+2}{-1} = \frac{y-1}{2} = \frac{z+3}{-\frac{2}{3}}$$

Example. The ellipsoid $4x^2 + 2y^2 + z^2 = 15$ intersects the plane $y = 2$ at an ellipse. Find the parametric equations of the tangent line to the ellipse at the point $(1, 2, 2)$.

Solution.

$$\begin{cases} F_x = 8x \\ F_y = 4y \\ F_z = 2z \end{cases} \quad \Rightarrow \quad \begin{cases} F_x(1, 2, 2) = 8 \\ F_y(1, 2, 2) = 8 \\ F_z(1, 2, 2) = 4 \end{cases}$$

Then the equation of the tangent plane:

$$8(x-1) + 8(y-2) + 4(z-2) = 0 \quad \Rightarrow \quad 2(x-1) + 2(y-2) + (z-2) = 0$$

We then set $y = 2$ in the equation:

$$2(x-1) + (z-2) = 0 \Rightarrow z = 4 - 2x$$

$$\begin{cases} x = x \\ y = 2 \\ z = 4 - 2x \end{cases} \quad -\infty < x < \infty$$

We note further that the vector $\langle 1, 0, -2 \rangle$ is the direction vector of this line.

Example. At what points of the paraboloid $y = x^2 + z^2$ the tangent plane is parallel to plane $x + 2y + 3z = 1$?

Solution. Suppose that the point we are looking for is the point (a, b, c) . We write the surface in the implicit form $F(x, y, z) = x^2 + z^2 - y = 0$. Then:

$$\begin{cases} F_x = 2x \\ F_y = -1 \\ F_z = 2z \end{cases} \Rightarrow \begin{cases} F_x(a, b, c) = 2a \\ F_y(a, b, c) = -1 \\ F_z(a, b, c) = 2c \end{cases}$$

The gradient vector $\nabla F(a, b, c)$ is perpendicular to the tangent plane at (a, b, c) , therefore it must be parallel to the vector $\langle 1, 2, 3 \rangle$, therefore it must satisfy:

$$\frac{2a}{1} = \frac{-1}{2} = \frac{2c}{3} \Rightarrow \begin{cases} 4a = -1 \\ 4c = -3 \end{cases} \Rightarrow \begin{cases} a = -\frac{1}{4} \\ c = -\frac{3}{4} \end{cases}$$

As the point (a, b, c) must be on the surface, we have:

$$b = a^2 + c^2 \Rightarrow b = \frac{1}{16} + \frac{9}{16} = \frac{5}{8}$$

So

$$(a, b, c) = \left(-\frac{1}{4}, \frac{5}{8}, -\frac{3}{4}\right)$$

Example. Show that the ellipsoid $3x^2 + 2y^2 + z^2 = 9$ and the sphere

$x^2 + y^2 + z^2 - 8x - 6y - 8z + 24 = 0$ are tangent to each other at the point $(1, 1, 2)$. (This means that they have a common tangent plane at the point.)

Solution. We consider the surfaces in the implicit form:

$$\begin{cases} F(x, y, z) = 3x^2 + 2y^2 + z^2 = 9 \\ G(x, y, z) = x^2 + y^2 + z^2 - 8x - 6y - 8z + 24 = 0 \end{cases}$$

We must show that the surfaces have a common tangent plane at the point $(1, 1, 2)$. Equivalently, we show that the vectors $\nabla F(1, 1, 2)$ and $\nabla G(1, 1, 2)$ are parallel (because these two vectors are the normal vectors of those planes).

$$\begin{cases} F_x = 6x \\ F_y = 4y \\ F_z = 2z \end{cases} \Rightarrow \begin{cases} F_x(1, 1, 2) = 6 \\ F_y(1, 1, 2) = 4 \\ F_z(1, 1, 2) = 4 \end{cases}$$

$$\begin{cases} G_x = 2x - 8 \\ G_y = 2y - 6 \\ G_z = 2z - 8 \end{cases} \Rightarrow \begin{cases} G_x(1, 1, 2) = -6 \\ G_y(1, 1, 2) = -4 \\ G_z(1, 1, 2) = -4 \end{cases}$$

So we have $\begin{cases} \nabla F(1, 1, 2) = \langle 6, 4, 4 \rangle \\ \nabla G(1, 1, 2) = \langle -6, -4, -4 \rangle \end{cases}$ and obviously these two vectors are parallel.

Example. Find parametric equations for the tangent line to the curve of intersection of the paraboloid $z = x^2 + y^2$ and the ellipsoid $4x^2 + y^2 + z^2 = 9$ at the point $(-1, 1, 2)$.

Solution. We write the two surfaces in the implicit form:

$$\begin{cases} F(x, y, z) = x^2 + y^2 - z = 0 \\ G(x, y, z) = 4x^2 + y^2 + z^2 - 9 = 0 \end{cases}$$

The tangent line we are looking for is in the intersection of the tangent planes of the two surfaces. The

vectors $\nabla F(-1, 1, 2)$ and $\nabla G(-1, 1, 2)$ are perpendicular to the surfaces at the common point $(-1, 1, 2)$ of the two surfaces. Therefore, the vector $\nabla F(-1, 1, 2) \times \nabla G(-1, 1, 2)$ is parallel to the tangent line, so we will use this vector to write the equation of the tangent line.

$$\begin{cases} F_x = 2x \\ F_y = 2y \\ F_z = -1 \end{cases} \Rightarrow \begin{cases} F_x(-1, 1, 2) = -2 \\ F_y(-1, 1, 2) = 2 \\ F_z(-1, 1, 2) = -1 \end{cases}$$

$$\begin{cases} G_x = 8x \\ G_y = 2y \\ G_z = 2z \end{cases} \Rightarrow \begin{cases} G_x(-1, 1, 2) = -8 \\ G_y(-1, 1, 2) = 2 \\ G_z(-1, 1, 2) = 4 \end{cases}$$

$$\nabla F(-1, 1, 2) \times \nabla G(-1, 1, 2) = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ -2 & 2 & -1 \\ -8 & 2 & 4 \end{vmatrix} = \langle 10, 16, 12 \rangle$$

The vector $\langle 10, 16, 12 \rangle$ is the direction vector of the tangent line. Therefore its parametric equations are:

$$\begin{cases} x = 5t - 1 \\ y = 8t + 1 \\ z = 6t + 2 \end{cases} \quad -\infty < t < \infty$$