

UNCONSTRAINED AND CONVEX POLYNOMIAL APPROXIMATION IN $C[-1, 1]$

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Abstract

Some estimates for unconstrained and convex polynomial approximation in the uniform metric are obtained. These results are given in terms of the Ditzian-Totik moduli of smoothness $\omega_{\varphi}^{\lambda}(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda})$, $0 \leq \lambda \leq 1$ with $\varphi(x) = \sqrt{1-x^2}$. The construction of the approximating polynomials does not depend on λ .

1. Introduction

Let Π_n denote the set of all polynomials of degree not exceeding n and $\varphi(x) := \sqrt{1-x^2}$. Recently, Z. Ditzian and D. Jiang^[3] proved that for every function $f(x) \in C[-1, 1]$ and $0 \leq \lambda \leq 1$ there exists a sequence of polynomials $p_n \in \Pi_n$ satisfying

$$|f(x) - p_n(x)| \leq C(r, \lambda) \omega_{\varphi}^{\lambda}(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}), \tag{1}$$

where $\omega_{\varphi}^{\lambda}(f, \delta) := \omega_{\varphi}^{\lambda}(f, \delta, [-1, 1])$ is the Ditzian-Totik modulus of smoothness in the uniform metric, which is given by (see [2]):

$$\omega_{\varphi}^{\lambda}(f, \delta, [a, b]) := \sup_{0 < h \leq \delta} \|\Delta_{h\varphi}^{\lambda} f\|_{C[a, b]}$$

and

$$\Delta_{\eta}^r f(x) := \begin{cases} \sum_{i=0}^r (-1)^i \binom{r}{i} f(x + (\frac{r}{2} - i)\eta), & \text{if } x \pm \frac{r}{2}\eta \in [a, b], \\ 0, & \text{otherwise} \end{cases}$$

is the symmetric r th difference.

This result bridged the gap between pointwise estimates in terms of the usual modulus of smoothness $\omega(f, n^{-1} \sqrt{1-x^2} + n^{-2})$ (the case $\lambda = 0$), which were studied by A. F. Timan, V. K. Dzjadyk, G. Freud, Yu. A. Brudnyi (see [6], for example), and uniform

estimates in terms of $\omega_{\varphi}^{\lambda}(f, n^{-1})(\lambda = 1)$, which were obtained by Z. Ditzian and V. Totik [2, Chap. 7].

It was shown by Z. Ditzian, D. Jiang and D. Leviatan^[4] that for $r = 2$ the quantity $n^{-1} + \varphi(x)$ in (1) can be replaced by $\varphi(x)$, and the result can be extended to shape preserving polynomial approximation in $C[-1, 1]$.

Theorem A [4, Theorem 1.1]). For $f \in C[-1, 1]$ and $0 \leq \lambda \leq 1$, there exists $p_n \in \Pi_n$ with $p_n(\pm 1) = f(\pm 1)$ such that

$$|f(x) - p_n(x)| \leq C(\lambda) \omega_{\varphi}^{\lambda}(f, n^{-1} \varphi(x)^{1-\lambda}), \quad -1 \leq x \leq 1, \quad (2)$$

where $C(\lambda)$ independent of n and f . Moreover, if f is monotone or convex, or both, P_n can be chosen to be monotone or convex or both, respectively. The choice of p_n may be made independent of λ .

For $\lambda = 0$ Theorem A was proved by R. A. DeVore and X. M. Yu^[1] in the monotone case and by D. Leviatan^[11] in the convex one. For $\lambda = 1$ it was proved by D. Leviatan^[12]. An immediate consequence of the work of A. S. Shvedov^[16] is the fact that estimate (2) is not correct with the moduli $\omega_{\varphi}^{\lambda}$ and $\omega_{\varphi}^{\lambda}$ for monotone and convex approximation, respectively.

Thus Theorem A leaves open the case concerning the estimate of the rate of convex polynomial approximation in terms of the $\omega_{\varphi}^{\lambda}$ modulus. the main goal of the paper is to close this gap (see Theorem 1).

It would also be natural to assume that constants in the estimates (1), (2) change "continuously" while λ changes from 0 to 1. This would imply that they can be replaced by those independent of λ . However, it does not follow directly from the proofs in [3, 4] as one of the central moments there was the employment of the following equivalence of the Ditzian-Totik modulus and K -functional ([2, Theorem 2.1.1]):

there exists such a constant M which depends on λ that

$$M^{-1} \omega_{\varphi}^{\lambda}(f, t) \leq K_{r, \varphi^{\lambda}}(f, t) \leq M \omega_{\varphi}^{\lambda}(f, t),$$

where $K_{r, \varphi^{\lambda}}(f, t) = \inf_{g \in C^r} (\|f - g\| + t \|\phi^{\lambda} g^{(r)}\|)$.

In this paper we strengthen estimate (1) proving, in particular, that constant in (1) can be replaced by $C = C(r)$ (see Theorem 4).

The following theorem on convex approximation is the main result of the paper.

Theorem 1. For a convex function $f \in C[-1, 1]$ and every $n \geq 2$ there exists a convex polynomial $p_n \in \Pi_n$ such that for any $\lambda \in [0, 1]$ and $x \in [-1, 1]$

$$|f(x) - p_n(x)| \leq C \omega_{\varphi}^{\lambda}(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}). \quad (3)$$

If $f \in C^1[-1, 1]$, then the following estimate also holds;

$$|f'(x) - p'_n(x)| \leq C\omega_\varphi^2(f', n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}). \tag{4}$$

Moreover, for $f \in C^2[-1, 1]$ there is also the following estimate:

$$|f''(x) - p''_n(x)| \leq C\omega_\varphi^2(f'', n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}), \tag{5}$$

where C are absolute constants.

It is known that $n^{-1} + \varphi(x)$ in (3) can not be replaced by $\varphi(x)$.

For $\lambda = 0$ and $\lambda = 1$ Theorem 1 was proved in [9]. Also, in the case $\lambda = 0$ a weaker version of the inequality (3) was obtained by Y. Hu, D. Leviatan and X. M. Yu^[7] who showed that for a convex function f there exists a convex polynomial $p_n \in \Pi_n$ such that $\|f - p_n\|_\infty \leq C\omega^3(f, n^{-1})$.

The following corollaries immediately follow from Theorem 1.

Corollary 2. For a nondecreasing function $f \in C[-1, 1]$ there exists a nondecreasing polynomial $p_n \in \Pi_n$ such that for any $\lambda \in [0, 1]$ and $x \in [-1, 1]$

$$|f(x) - p_n(x)| \leq C\omega_\varphi^2(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}).$$

If $f \in C^1[-1, 1]$, then the following estimate also holds

$$|f'(x) - p'_n(x)| \leq C\omega_\varphi^2(f', n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}).$$

Corollary 3. For a nonnegative function $f \in C[-1, 1]$ and every $n \geq 2$ there exists a nonnegative polynomial $p_n \in \Pi_n$ such that for any $0 \leq \lambda \leq 1$

$$|f(x) - p_n(x)| \leq C\omega_\varphi^2(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}), \quad x \in [-1, 1].$$

Note that the proof of Theorem 1 is not heavily based on the fact that f is a convex function (of course, we need this condition to construct a convex approximating polynomial). Namely, the same proof works if we drop the condition for f and p_n to be convex. Moreover, in that case we are able to replace, respectively, ω_φ^3 , ω_φ^2 , and ω_φ , by ω_φ^r , ω_φ^{r-1} and ω_φ^{r-2} with arbitrary $r \geq 3$.

Theorem 4. For an integer $r, r \geq 3$ and arbitrary function $f \in C[-1, 1]$ there exists a sequence of polynomials $p_n \in \Pi_n, n \geq r-1$ satisfying for any $\lambda \in [0, 1]$ and $x \in [-1, 1]$

$$|f(x) - p_n(x)| \leq C(r)\omega_\varphi^r(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}). \tag{6}$$

If $f \in C^1[-1, 1]$, then the following estimate also holds:

$$|f'(x) - p'_n(x)| \leq C(r)\omega_\varphi^{r-1}(f', n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}). \tag{7}$$

Moreover, for $f \in C^2[-1, 1]$ there is also the following estimate:

$$|f''(x) - p''_n(x)| \leq C(r)\omega_\varphi^{r-2}(f'', n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}). \tag{8}$$

It might be of interest to compare the last result with the following theorem on simultaneous approximation of a function f and its derivatives, which was recently proved by Z. Ditzian, D. Jiang and D. Leviatan^[5].

Theorem B. Suppose s and r are integers, $s \geq 0$, $r \geq 1$, $0 \leq \lambda \leq 1$ and $f^{(s)}(x) \in C[-1, 1]$. Then, for $n \geq r + s - 1$ there exists a polynomial $p_n \in \Pi_n$ satisfying

$$|f^{(j)}(x) - p_n^{(j)}(x)| \leq C(r, s, \lambda) (n^{-1} \varphi(x))^{s-j} \omega_{\varphi}^{r, \lambda}(f^{(s)}, n^{-1} (n^{-1} + \varphi(x))^{1-\lambda}),$$

$$0 \leq j \leq s, x \in [-1, 1]. \tag{9}$$

Theorem 4 is stronger than Theorem B in one sense and weaker in another. The main its disadvantage is that we have simultaneous approximation only up to the second derivative. Secondly, even for $s = 2$ estimates (9) for $j = 0$ and 1 in some sense are better than (6) and (7) near the endpoints of $[-1, 1]$, i. e., when $x \in [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$. On the other hand, for $x \notin [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$ estimates (6) – (8) are better (in a sense) than (9) for $0 \leq j \leq 2$ and any $s > 2$. Also, as a minor advantage of Theorem 4 we mention that constants in (6) – (8) do not depend on λ .

Remark. Estimates (3) and (6) can be improved near the endpoints (see e. g., [15] and [9, ineq. (8)]). However, since it is known that $\Delta_n(x)$ in the estimate by $\omega(f, \Delta_n(x))$, generally, can not be replaced by $\varphi(x)n^{-1}$ for $r \geq 3$ (it can be replaced by $\frac{\sqrt{1-x^2}}{n} + \sqrt{\frac{1-x^2}{n}} n^{-2+3/r}$ which is less interesting quantity than $\varphi(x)n^{-1}$), we omit the discussions of this subject.

As already was mentioned, the methods of the proofs of Theorems 1 and 4 are the same (in fact, they are not different from the one used in [9]). A complete proof of Theorem 4 is given in Section 5. In Section 6 we discuss what changes are necessary in order to make approximating polynomial p_n convex in the case for convex f and $r = 3$.

2. Definitions and notations

Throughout this paper we use the following notations (cf. [8, 9, 13–15]):

$$I_j = [-1, 1]; \quad \Delta_n(x) = n^{-1} \sqrt{1-x^2} + n^{-2}, \quad x \in I_j;$$

$$x_j = \cos \frac{j\pi}{n}, \quad j = \overline{0, n}; \quad \bar{x}_j = \cos \left(\frac{j\pi}{n} - \frac{\pi}{2n} \right), \quad j = \overline{1, n};$$

$$x_j^0 = \cos \left(\frac{j\pi}{n} - \frac{\pi}{4n} \right), \quad \text{if } j < \frac{n}{2}, \quad x_j^0 = \cos \left(\frac{j\pi}{n} - \frac{3\pi}{4n} \right), \quad \text{if } j \geq \frac{n}{2};$$

$$I_j = [x_j, x_{j-1}], \quad h_j = x_{j-1} - x_j, \quad j = \overline{1, n};$$

$$t_j = (x - x_j^0)^{-2} \cos^2 2n \arccos x + (x - \bar{x}_j)^{-2} \sin^2 2n \arccos x,$$

t_j is the algebraic polynomial of degree $4n - 2$ (see [6, 13]).

Let

$$\Pi_j(\xi, \zeta, \mu) := \int_{-1}^1 (y - x_j)^\xi (x_{j-1} - y)^\zeta t_j^\mu(y) dy,$$

then

$$Q_j(\xi, \zeta, \mu)(x) := \frac{\int_{-1}^x (y - x_j)^\xi (x_{j-1} - y)^\zeta t_j^\mu(y) dy}{\Pi_j(\xi, \zeta, \mu)}, \quad j = \overline{1, n}$$

is the algebraic polynomial of degree $2\mu(2n - 1) + \xi + \zeta + 1$, which is well defined because $\Pi_j(\xi, \zeta, \mu)$ is never zero (see Proposition E).

We also denote $\Psi_j := \frac{h_j}{|x - x_j| + h_j}$ and $\chi[a, b](x) := \begin{cases} 1, & \text{if } x \in [a, b], \\ 0, & \text{otherwise.} \end{cases}$

Obviously, all the above definitions depend on n . To emphasize this in the last section we will use the notations $x_{j(n)}, h_{j(n)}$ etc.

$L(t, f; t_1, t_2, \dots, t_{v+1})$ denotes the Lagrange polynomial, of degree not exceeding v , which interpolates the function $f(x)$ at the points t_1, t_2, \dots, t_{v+1} .

C are positive absolute constants which are not necessarily the same even when they occur on the same line.

$A_i, i \in \mathbb{N}$ denote constants which are larger than 1 and remain fixed throughout the paper.

In order to emphasize that the constant C depends only on the parameters v_1, \dots, v_k we will use the notation $C(v_1, \dots, v_k)$.

Without further mentioning the inequalities $h_{j\pm 1} < 3h_j$ and $\Delta_n(x) < h_j < 5\Delta_n(x)$ for $x \in I_j$ are used.

3. Some properties of $\omega_\varphi^\lambda, 0 \leq \lambda \leq 1$ moduli of smoothness

Lemma 5. Let $[a, b] \subset [-1, 1]$ be such that $b - a \leq A_1 \Delta_n(a)$ where $A_1 \geq 1$ is an absolute constant. Then for any integer r there exists a constant $C(r)$ such that for any $\lambda \in [0, 1]$ and $x \in [a, b]$

$$\begin{aligned} C(r)^{-1} \omega(f, \Delta_n(x), [a, b]) &\leq \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a, b]) \\ &\leq C(r) \omega(f, \Delta_n(x), [a, b]). \end{aligned} \tag{10}$$

Lemma 5 shows that moduli $\omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a, b])$ are equivalent for all $0 \leq \lambda \leq 1$ if $|b - a| \sim \Delta_n(x), x \in [a, b]$. Of course, neither of the inequalities in (10) is true if we drop the last condition. For example,

$$\omega_\varphi(f, n^{-1}) \not\leq C\omega(f, \Delta_n(x)),$$

since $\omega_\varphi(f, n^{-1}) \sim n^{-1}$ and $\omega_\varphi(x, \Delta_n(x)) \sim \Delta_n(x)$, and

$$\omega_\varphi(f, n^{-1}) \not\geq C\omega(f, \Delta_n(x)),$$

since $\omega_\varphi(\sqrt{x+1}, n^{-1}) \sim n^{-1}$ and $\omega(\sqrt{x+1}, \Delta_n(x)) \sim \sqrt{\Delta_n(x)}$.

Proof of Lemma 5. First of all, for every $x \in [a, b]$ the quantities $\Delta_n(x)$ and $\Delta_n(a)$ are equivalent, i. e.

$$A_2^{-1}\Delta_n(a) \leq \Delta_n(x) \leq A_2\Delta_n(a) \tag{11}$$

with some absolute constant A_2 which depends only on A_1 .

This is a consequence, for example, of the following inequalities:

$$\begin{aligned} \Delta_n(x) &= \sqrt{1 - a^2 + a^2 - x^2 n^{-1} + n^{-2}} \leq \sqrt{1 - a^2 + 2A_1\Delta_n(a)n^{-1} + n^{-2}} \leq \\ &\leq \Delta_n(a) + \sqrt{2A_1\Delta_n(a)n^{-1}} \leq 3\sqrt{A_1\Delta_n(a)} \end{aligned}$$

and, using $\sqrt{a+b} \geq \sqrt{a} - \sqrt{\max\{-b, 0\}}$ for $a \geq 0$ and $a+b \geq 0$, and recalling that $A_1 \geq 1$,

$$\begin{aligned} \Delta_n(x) &= \sqrt{1 - a^2 + a^2 - x^2 n^{-1} + n^{-2}} \geq \Delta_n(a) - \sqrt{\max\{x^2 - a^2, 0\}n^{-1}} \geq \\ &\geq \Delta_n(a) - \min\{\sqrt{2A_1\Delta_n(a)}, \sqrt{1 - a^2}\}n^{-1} \geq \\ &\geq \min\left\{1 - \sqrt{\frac{2A_1}{2A_1 + 1}}, \frac{1}{4A_1 + 1}\right\} \Delta_n(a). \end{aligned}$$

Now we are ready to prove (10). For convenience the following two cases are considered separately:

$$(i) [a, b] \cap ([-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]) = \emptyset$$

and

$$(ii) [a, b] \cap ([-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]) \neq \emptyset.$$

Case (i). In this case $[a, b]$ is separated from the endpoints of I and, thus, $\varphi(x)n^{-1} < \Delta_n(x) < 2\varphi(x)n^{-1}$ for any $x \in [a, b]$.

Taking this into account one has together with (11)

$$\frac{1}{2}A_2^{-1} \leq \frac{\Delta_n(x)}{2\Delta_n(a)} \leq \frac{\varphi(x)}{n\Delta_n(a)} \leq \frac{\Delta_n(x)}{\Delta_n(a)} \leq A_2.$$

Hence the following estimates are valid for $x \in [a, b]$

$$\begin{aligned} \omega_\varphi^\lambda(f, n^{-\lambda}\Delta_n(x)^{1-\lambda}, [a, b]) &= \sup_{0 < h \leq n^{-\lambda}\Delta_n(x)^{1-\lambda}} \|\Delta_{n\varphi^\lambda}^\lambda f\|_{C[a, b]} \leq \\ &\leq \sup_{h, n^{-\lambda}\Delta_n(a)^{1-\lambda} \leq A_2\Delta_n(a)} \|\Delta_{n\varphi^\lambda}^\lambda f\|_{C[a, b]} = \end{aligned}$$

$$\begin{aligned}
 &= \sup_{\bar{h} \leq A_2 \Delta_n(a)} \|\Delta_n^{-\lambda} \varphi^\lambda \Delta_n(a)^{-\lambda} f\|_{C[a,b]} \leq \sup_{\bar{h} \leq A_2 \Delta_n(a)} \|\Delta_{A_2 \bar{h}}^\lambda f\|_{C[a,b]} = \\
 &= \omega(f, A_2^2 \Delta_n(a), [a,b]) \leq C(r) \omega(f, \Delta_n(x), [a,b]).
 \end{aligned}$$

Similarly, the inequalities in the other direction can be written as follows:

$$\begin{aligned}
 \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a,b]) &\geq \sup_{\bar{h} \leq A_2^{-1} \Delta_n(a)} \|\Delta_n^{-\lambda} \varphi^\lambda \Delta_n(a)^{-\lambda} f\|_{C[a,b]} \geq \\
 &\geq \sup_{\bar{h} \leq A_2^{-1} \Delta_n(a)} \|\Delta_{(2A_2)^{-1} \bar{h}}^\lambda f\|_{C[a,b]} = \\
 &= \omega\left(f, \frac{1}{2} A_2^{-2} \Delta_n(a), [a,b]\right) \geq C(r) \omega(f, \Delta_n(x), [a,b]).
 \end{aligned}$$

This completes the proof of (10) in the case (i).

Case (ii). We assume that $[a,b] \cap [-1, -1 + n^{-2}] \neq \emptyset$ and note that for the other case the considerations are analogous.

Inequality (11) implies that $n^{-2} < \Delta_n(x) < 5A_2 n^{-2}$ for every $x \in [a,b]$ and therefore,

$$\begin{aligned}
 \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a,b]) &\leq \sup_{0 < \bar{h} \leq 5A_2 n^{-2}} \|\Delta_{\bar{h}}^\lambda f\|_{C[a,b]} \leq \\
 &\leq \sup_{0 < \bar{h} \leq 5A_2 n^{-2}} \|\Delta_{5A_2 \bar{h}}^\lambda f\|_{C[a,b]} = \sup_{\bar{h}_1 = 5A_2 n^{-1} \bar{h} \leq 25A_2^2 n^{-2}} \|\Delta_{\bar{h}_1}^\lambda f\|_{C[a,b]} = \\
 &= \omega(f, 25A_2^2 n^{-2}, [a,b]) \leq C(r) \omega(f, \Delta_n(x), [a,b]).
 \end{aligned}$$

Thus it remains only to verify (10) in the other direction. At this point we would like to remind that by the definition the difference $\Delta_\eta^\lambda f(x) = 0$ if $x \pm \frac{r}{2} \eta \notin [a,b]$, $\eta = \eta(x)$. This

implies the inequality $\|\Delta_\eta^\lambda f\|_{C[a,b]} \geq \sup_{x \in S} |\Delta_\eta^\lambda f(x)|$ for any set S . Hence we have

$$\begin{aligned}
 \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a,b]) &\geq \sup_{0 < \bar{h} \leq n^{1-2}} \|\Delta_{\bar{h} \varphi^\lambda}^\lambda f\|_{C[a,b]} \geq \\
 &\geq \sup_{\bar{h} \leq n^{1-2}} \sup_{x \in S, = \{x | x - \frac{r}{4} \bar{h}^{2/(2-\lambda)} \geq -1\}} |\Delta_{\bar{h} \varphi(x)^\lambda}^\lambda f(x)| \geq \\
 &\geq \sup_{\bar{h}_1 = \frac{1}{2} \bar{h}^{2/(2-\lambda)} \leq \frac{1}{2} n^{-2}} \sup_{x \in S = \{x | x - \frac{r}{2} \bar{h}_1 \geq -1\}} |\Delta_{\bar{h}_1}^\lambda f(x)|.
 \end{aligned}$$

The last inequality is valid because of the following implications:

$$x \in S \Rightarrow 1 - x^2 \geq \frac{r}{4} \bar{h}^{2/(2-\lambda)} \Leftrightarrow$$

$$\Leftrightarrow \varphi(x)^\lambda \geq \left(\frac{\sqrt{r}}{2}\right)^\lambda \bar{h}^{\lambda/(2-\lambda)} \Rightarrow \varphi(x)^\lambda \geq \frac{1}{2} \bar{h}^{\lambda/(2-\lambda)}$$

and therefore for every $x \in S$ the inequality $\bar{h} \varphi(x)^\lambda \geq \frac{1}{2} \bar{h}^{2/(2-\lambda)} = \bar{h}$ holds. Now using again the definition of the symmetric difference we conclude that if

$x \notin S \cap \left\{ x \mid x \pm \frac{r}{2}h \in [a, b] \right\}$ (in fact, $\left\{ x \mid x \pm \frac{r}{2}h \in [a, b] \right\} \subset S$),

then $\Delta_h^r f(x) = 0$ and thus $\|\Delta_h^r f\|_{C(S)} = \|\Delta_h^r f\|_{C[a, b]}$. Finally we have

$$\begin{aligned} \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}, [a, b]) &\geq \sup_{h \leq \frac{1}{2}n^{-2}} \|\Delta_h^r f\|_{C(S)} = \sup_{h \leq \frac{1}{2}n^{-2}} \|\Delta_h^r f\|_{C[a, b]} \\ &= \omega\left(f, \frac{1}{2}n^{-2}, [a, b]\right) \geq C(r)\omega(f, \Delta_n(x), [a, b]). \end{aligned}$$

Thus the proof of (10) is complete.

It is well known (see [2, Theorem 4.1.2], for example) that the Ditzian-Totik moduli of smoothness have the following basic property

$$\omega_\varphi^r(f, \mu t) \leq C(r, \lambda)(\mu + 1)^r \omega_\varphi^\lambda(f, t). \tag{12}$$

In our proof we need some inequality analogous to (12) but with a constant independent of λ . Such an inequality is given in the following lemma.

Lemma 6. *For any integer r there exists a constant $C=C(r)$ such that for every $t>0, 0 \leq \lambda \leq 1$ and $\mu \geq 1$ the following inequality holds*

$$\omega_\varphi^r(f, \mu t) \leq C(r)\mu^{2r} \omega_\varphi^r(f, t). \tag{13}$$

Proof. We give a simple combinatorial proof of (13). Obviously, it is sufficient to prove it in the case when μ is an integer. To emphasize this, instead of μ we write n . The following identity which can be easily proved by induction is used:

$$\Delta_n^r f(x) = \sum_{i_1=0}^{n-1} \dots \sum_{i_r=0}^{n-1} \Delta_h^r f\left(x + \left(i_1 + \dots + i_r - \frac{(n-1)r}{2}\right)h\right). \tag{14}$$

We write

$$\omega_\varphi^\lambda(f, nt) = \sup_{0 \leq h \leq nt} \|\Delta_{hn\varphi}^\lambda f\|_{C(I)} = \sup_{0 \leq h \leq t} \|\Delta_{hn\varphi}^\lambda f\|_{C(I)}.$$

It follows from the definition of the symmetric difference that if the inequality $1 - |x| \geq \frac{1}{2}hnr\varphi(x)^r$ does not hold, then $\Delta_{hn\varphi}^\lambda f(x) = 0$. Therefore, if $\frac{1}{2}hnr > \max\{(1 - |x|)(1 - x^2)^{-\lambda/2}\} = 1$, then $\|\Delta_{hn\varphi}^\lambda f\|_{C(I)} = 0$, thus

$$\omega_\varphi^\lambda(f, nt) = \sup_{0 \leq h \leq \min\{t, \frac{2}{nr}\}} \sup_{|x| \geq \frac{1}{2}hnr\varphi(x)^\lambda} |\Delta_{hn\varphi}^\lambda f(x)|.$$

Now fixing h and x such that $0 \leq h \leq \min\{t, \frac{2}{nr}\}$ and $1 - |x| \geq \frac{1}{2}hnr\varphi(x)^\lambda$ and using (14) we have

$$|\Delta_{hn\varphi}^\lambda f(x)| \leq \sum_{i_1=0}^{n-1} \dots \sum_{i_r=0}^{n-1} \left| \Delta_h^r f\left(x + \left(i_1 + \dots + i_r - \frac{(n-1)r}{2}\right)h\varphi(x)^\lambda\right) \right|. \tag{15}$$

For brevity we denote $\theta(x) := x + \left(i_1 + \dots + i_r - \frac{(n-1)r}{2}\right)h\varphi(x)^\lambda$. Suppose we showed

that $\varphi(x)^\lambda \leq A(\sqrt{1 - \theta(x)^2})^\lambda$ with A independent of x and h (The best situation is when A in this inequality is an absolute constant. We show its validity with $A = 3\sqrt{n}$, which will do in our case).

Thus we would have

$$\begin{aligned} |\Delta_{h,\varphi}^\lambda f(\theta(x))| &\leq \sup_{0 \leq h \leq \min(t, \frac{2}{nr})} \sup_{|x| \geq \frac{1}{2}hnr\varphi(x)^\lambda} |\Delta_{Ah(1-\theta(x)^2)^{1/2}}^\lambda f(\theta(x))| \leq \\ &\leq \sup_{0 \leq h \leq t} \sup_{y, = \theta(x) \in [-1,1]} |\Delta_{Ah(1-y^2)^{1/2}}^\lambda f(y)| = \sup_{0 \leq h \leq t} \|\Delta_{Ah(1-y^2)^{1/2}}^\lambda f(y)\|_{C(I)} \leq \omega_\varphi^\lambda(f, At). \end{aligned}$$

Together with (15) it would yield the estimate $|\Delta_{hnr}^\lambda f(x)| \leq n^r \omega_\varphi^\lambda(f, At)$ and, therefore, $\omega_\varphi^\lambda(f, nt) \leq n^r \omega_\varphi^\lambda(f, At)$.

If $A = 3\sqrt{n}$ then we have the following inequality for all $t \geq 0$:

$$\omega_\varphi^\lambda(f, nt) \leq n^r \omega_\varphi^\lambda(f, 3\sqrt{n}t).$$

Now denoting $\tilde{t} = 3\sqrt{n}t$ and choosing n so that $\tilde{n} = \frac{\sqrt{n}}{3}$ is an integer one has $\omega_\varphi^\lambda(f, \tilde{n}\tilde{t}) \leq (9\tilde{n}^2)^r \omega_\varphi^\lambda(f, \tilde{t})$, which is the inequality (13) with $C = 9^r$. Thus to complete the proof of the lemma it is sufficient to show that $\varphi(x)^\lambda \leq 3\sqrt{n}(\sqrt{1 - \theta(x)^2})^\lambda$ for h and x such that the following holds:

$$0 \leq hnr \leq 2 \tag{16}$$

and

$$1 - |x| \geq \frac{1}{2}hnr\varphi(x)^\lambda. \tag{17}$$

We write

$$\begin{aligned} 1 - \theta(x)^2 &= 1 - \left(x + \left(i_1 + \dots + i_r - \frac{(n-1)r}{2}\right)h\varphi(x)^\lambda\right)^2 \geq \\ &\geq 1 - \left(|x| + \frac{1}{2}h(n-1)r\varphi(x)^\lambda\right)^2. \end{aligned}$$

Note that if $x \neq \pm 1$, then it follows from (17) that $\varphi(x)^{2-\lambda} \geq \frac{1}{2}hnr$. Now let us consider the following two cases:

(i) $\frac{1}{2}hnr \leq \varphi(x)^{2-\lambda} \leq 3hnr$

and

(ii) $\varphi(x)^{2-\lambda} \leq 3hnr$.

Case (i). Inequality (17) yields

$$|x| + \frac{1}{2}h(n-1)r\varphi(x)^\lambda = |x| + \frac{1}{2}hnr\varphi(x)^\lambda - \frac{1}{2}hr\varphi(x)^\lambda \leq 1 - \frac{1}{2}hr\varphi(x)^\lambda.$$

It follows from the inequality (16) that

$$\begin{aligned} 1 - \theta(x)^2 &\geq 1 - \left(1 - \frac{1}{2}hr\varphi(x)^\lambda\right)^2 = \\ &= \frac{1}{2}hr\varphi(x)^\lambda \left(2 - \frac{1}{2}hr\varphi(x)^\lambda\right) \geq \frac{1}{2}hr\varphi(x)^\lambda = \\ &= \frac{1}{2}hr\varphi(x)^2 \varphi(x)^{\lambda-2} \geq \frac{1}{2}hr\varphi(x)^2 (3hrn)^{-1} = \frac{\varphi(x)^2}{6n}. \end{aligned}$$

Hence

$$\varphi(x)^\lambda \leq (6n(1 - \theta(x)^2))^{1/2} \leq 3 \sqrt{n} (\sqrt{1 - \theta(x)^2})^\lambda.$$

Case (ii). Inequality $\varphi(x)^{2-\lambda} > 3hrn$ is equivalent to $\varphi(x)^\lambda < \frac{1-x^2}{3hrn}$. Therefore

$$\begin{aligned} 1 - \theta(x)^2 &\geq 1 - \left(|x| + \frac{1}{2}h(n-1)r\varphi(x)^\lambda\right)^2 \geq 1 - \left(|x| + \frac{1}{2}hnr \frac{1-x^2}{3hrn}\right)^2 = \\ &= 1 - \left(|x| + \frac{1-x^2}{6}\right)^2 \geq 1 - \left(|x| + \frac{1-x^2}{6}\right) \geq \frac{1-x^2}{3} > \frac{1-x^2}{6n}. \end{aligned}$$

The proof is now complete.

4. Auxiliary statements and results

Let us recall that a spline $s(t)$ of degree m has the defect k_i ($1 \leq k_i \leq m+1$) at a knot t_i if functions $s(t), s'(t), \dots, s^{(m-k_i)}(t)$ are continuous at t_i and the derivative $s^{(m-k_i+1)}(t)$ is discontinuous at this point.

Lemma C ([10, Proposition 2.3.1]). *The spline $s(t)$ of degree m which has defect k_i ($1 \leq k_i \leq m+1$) at the knots t_i ($i=1, 2, \dots, N-1$) ($t_0 < t_1 < \dots < t_N$) is uniquely presented in the form*

$$s(t) = \sum_{v=0}^m c_v (t - t_0)^v + \sum_{i=1}^{N-1} \sum_{j=0}^{k_i-1} a_{ij} (t - t_i)_+^{m-j}, \quad t_0 \leq t \leq t_N$$

where

$$\begin{aligned} c_v &= \frac{s^{(v)}(t_0)}{v!}, \quad v = 0, 1, \dots, m; \\ a_{ij} &= (s^{(m-j)}(t_i + 0) - s^{(m-j)}(t_i - 0)) / (m - j)!, \\ & i = 2, \dots, N-1, j = 0, 1, \dots, k_i - 1. \end{aligned}$$

Using Lemma C and sufficiently good polynomial approximation of the truncated power functions $(t - t_i)_+^{m-j}$ we are thus able to construct the polynomial which approximates the spline $s(t)$.

Proposition D (see [13,14], for example). *The following inequalities hold:*

$$\min\{(x - x_j^0)^{-2}, (x - \bar{x}_j)^{-2}\} \leq t_j(x) \leq \max\{(x - x_j^0)^{-2}, (x - \bar{x}_j)^{-2}\}, x \in I,$$

$$t_j(x) \leq 10^3 h_j^{-2}, x \in I,$$

$$x_j^0 - x_j > \frac{\bar{x}_j - x_j}{2} > \frac{1}{4} h_j, x_{j-1} - \bar{x}_j > \frac{1}{4} h_j, \bar{x}_j - x_j^0 \leq \frac{3}{8} h_j, \text{ while } j \leq \frac{n}{2},$$

$$x_{j-1} - x_j^0 > \frac{x_{j-1} - \bar{x}_j}{2} > \frac{1}{4} h_j, \bar{x}_j - x_j > \frac{1}{4} h_j, x_j^0 - \bar{x}_j \leq \frac{3}{8} h_j, \text{ while } j > \frac{n}{2},$$

$$\max\{(x - x_j^0)^{-2}, (x - \bar{x}_j)^{-2}\} \leq 64(|x - x_j| + h_j)^{-2}, x \notin I_j,$$

and

$$(|x - x_j| + h_j)^{-2} \leq t_j(x) \leq 4 \cdot 10^3 (|x - x_j| + h_j)^{-2}, x \in I.$$

Proposition E^[9]. *The following inequalities are valid:*

$$C(\mu)^{-1} h_j^{-2\mu+\xi+\zeta+1} \leq \Pi_j(\xi, \zeta, \mu) :=$$

$$:= \int_{-1}^1 (y - x_j)^\xi (x_{j-1} - y)^\zeta t_j^\mu(y) dy \leq C(\mu) h_j^{-2\mu+\xi+\zeta+1},$$

where ξ, ζ and μ are integers satisfying $\xi \geq 0, \zeta \geq 0$ and $\mu \geq 9(\xi + \zeta + 1)$.

The remaining statements in this section are generalizations of Lemmas 1 and 2 in [9].

Lemma 7. *The following inequalities hold:*

$$1 - x_{j-1} < \int_{-1}^1 Q_j(\xi, \zeta, \mu)(y) dy < 1 - x_j, \tag{18}$$

$$|Q_j(\xi, \zeta, \mu)(x)| \leq C(\mu) \Psi_j^{2\mu-\xi-\zeta} h_j^{-1}, \tag{19}$$

$$|\chi_j(x) - Q_j(\xi, \zeta, \mu)(x)| \leq C(\mu) \Psi_j^{2\mu-\xi-\zeta-1}, \tag{20}$$

where $\mu \geq 9(\xi + \zeta + 2), x \in I$ and $\chi_j(x) := \chi[x_j, 1](x)$.

Proof. The lemma was proved in [9] for $Q_j(1, 0, 10), Q_j(0, 1, 10)$ and $Q_j(0, 0, 9)$ (see [9, Lemma 1]). Since the method of the proof for $Q_j(\xi, \zeta, \mu)$ is exactly the same we omit the details in this paper.

It follows from the inequality (18) that for $j = \overline{1, n-1}$ one can choose $\alpha_j \in [0, 1]$ so that for polynomail

$$R_{j,0}(x) := R_{j,0}(\xi, \zeta, \mu)(x) :=$$

$$= \int_{-1}^x (\alpha_j Q_j(\xi, \zeta, \mu)(y) + (1 - \alpha_j) Q_{j+1}(\xi, \zeta, \mu)(y)) dy,$$

the following equality occurs:

$$R_{j,0}(1) = 1 - x_j.$$

Let $R_{j,m}(x) := R_{j,m}(\xi, \zeta, \mu)(x) := (x - x_j)^m R_{j,0}(\xi, \zeta, \mu)(x), m \geq 0$.

Lemma 8. *The following inequalities hold:*

$$|(x - x_j)_+^m - R_{j,m-1}(x)| \leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m - 1} h_j^m, \tag{21}$$

$$|m(x - x_j)_+^{m-1} - R'_{j,m-1}(x)| \leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m} h_j^{m-1}, \tag{22}$$

$$|m(m-1)(x - x_j)_+^{m-2} - R''_{j,m-1}(x)| \leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m + 1} h_j^{m-2}, \tag{23}$$

where $x \in I, m \geq 1, \mu \geq 9(\xi + \zeta + m + 2)$ and

$$(x - x_j)_+^v := \begin{cases} (x - x_j)^v \chi[x_j, 1](x), & \text{if } v \geq 0, \\ 0, & \text{otherwise.} \end{cases}$$

Proof. The case $m = 1$ for $(\xi, \zeta, \mu) = (1, 0, 10), (0, 1, 10)$ and $(0, 0, 9)$ was considered in [9, Lemma 2]. In the general case the considerations are similar and, therefore, we omit the details.

Now the inequalities (21), (22) and (23) for $m > 1$ follow from the case $m = 1$ and the estimates

$$\begin{aligned} |(x - x_j)_+^m - R_{j,m-1}(x)| &= |x - x_j|^{m-1} |(x - x_j)_+ - R_{j,0}(x)| \leq \\ &\leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m - 1} h_j^m, \end{aligned}$$

$$\begin{aligned} |R'_{j,m-1}(x) - m(x - x_j)_+^{m-1}| &= \\ &= |(x - x_j)^{m-1} R'_{j,0}(x) + (m-1)(x - x_j)^{m-2} R_{j,0}(x) - m(x - x_j)_+^{m-1}| \leq \\ &\leq (m-1) |x - x_j|^{m-2} |R_{j,0}(x) - (x - x_j)_+| + |x - x_j|^{m-1} |R'_{j,0}(x) - \chi_j(x)| \leq \\ &\leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m} h_j^{m-1}, \end{aligned}$$

$$\begin{aligned} |R''_{j,m-1}(x) - m(m-1)(x - x_j)_+^{m-2}| &= \\ &= |(x - x_j)^{m-1} R''_{j,0}(x) + 2(m-1)(x - x_j)^{m-2} R'_{j,0}(x) + \\ &+ (m-1)(m-2)(x - x_j)^{m-3} R_{j,0}(x) - m(m-1)(x - x_j)_+^{m-2}| \leq \\ &\leq |x - x_j|^{m-1} |R''_{j,0}(x)| + 2(m-1) |x - x_j|^{m-2} |R'_{j,0}(x) - \chi_j(x)| + \\ &+ (m-1)(m-2) |x - x_j|^{m-3} |R_{j,0}(x) - (x - x_j)_+| \leq \\ &\leq C(\mu) \Psi_j^{2\mu - \xi - \zeta - m + 1} h_j^{m-2}, \end{aligned}$$

respectively.

5. Unconstrained polynomial approximation

Following the ideas of [1] we construct a spline $S(x)$ of degree $\leq r - 1$ which sufficiently approximates the function $f = f(x), f \in C(I)$, that is

$$|f(x) - S(x)| \leq C(r) \omega_{\varphi^\lambda}^{\lambda}(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}), x \in I. \tag{24}$$

Then we approximate $S(x)$ by an algebraic polynomial so that

$$|S(x) - p_n(x)| \leq C(r) \omega_{\varphi^\lambda}^{\lambda}(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}), x \in I. \tag{25}$$

This proves the estimate (6).

Construction of the spline

Let $S(x) := L(x, f; x_j, x_{j-1}, \dots, x_{j-r+1})$, $x \in I_j$, $j = \overline{r-1, n}$ and $S(x) := L(x, f; x_{r-1}, x_{r-2}, \dots, x_0)$ for the other x . Then $S(x)$ is a spline of degree $r-1$ which satisfies (24). To show this we need the well known Whitney's inequality:

$$|g(x) - L(x, g; t_0, t_0 + h, \dots, t_0 + kh)| \leq C(k)\omega^{k+1}(g, h, [t_0, t_0 + kh]),$$

where $g \in C[t_0, t_0 + kh]$ and $x \in [t_0, t_0 + kh]$. Using Lemma 5 and the same considerations as in [9, ineq. (57)] one has the estimate

$$\begin{aligned} |f(x) - S(x)| &\leq C(r)\omega(f, \Delta_n(x), [x_j, x_{j-r+1}]) \leq \\ &\leq C(r)\omega_\varphi^\lambda(f, n^{-1}(n^{-1} + \varphi(x))^{1-\lambda}), \quad x \in I_j, \end{aligned}$$

which is the inequality (24).

Remark. In fact, without loss in the rate of approximation instead of the spline $S(x)$ for $x \in I_j$ we could choose $\tilde{S}(x) := L(x, f; \tilde{x}_j, \tilde{x}_{j-1}, \dots, \tilde{x}_{j-r+1})$ where \tilde{j} is an index such that $|j - \tilde{j}| \leq C(r)$ (i. e. \tilde{j} is "not far from" j). This observation is used in Section 6 for the proof of Theorem 1.

Taking into account that the spline $S(x)$ is of degree $r-1$ one gets the following analytic representation (see Lemma C) which is used for construction of the approximating polynomial.

$$\begin{aligned} S(x) &= \sum_{v=0}^{r-1} \frac{1}{v!} S^{(v)}(-1)(x+1)^v + \\ &+ \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} \frac{1}{(r-1-j)!} (S^{(r-1-j)}(x_i+0) - S^{(r-1-j)}(x_i-0)) (x-x_i)_+^{-1-j}, \quad x \in I. \end{aligned}$$

Construction of the polynomial

Let

$$\begin{aligned} P_n(x) &:= \sum_{v=0}^{r-1} \frac{1}{v!} S^{(v)}(-1)(x+1)^v + \\ &+ \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} \frac{1}{(r-1-j)!} (S^{(r-1-j)}(x_i+0) - S^{(r-1-j)}(x_i-0)) R_{i,r-j-2}(x), \quad x \in I. \end{aligned}$$

This polynomial is well defined since $r-j-2 \geq r-k_i-1 \geq 0$.

Let us estimate $|p_n(x) - S(x)|$, $x \in I$. First of all, using Markov's and then Whitney's

inequalities we have

$$\begin{aligned}
 & |S^{(r-1-j)}(x_i + 0) - S^{(r-1-j)}(x_i - 0)| = \\
 & = |L^{(r-1-j)}(x_i, f; x_i, x_{i-1}, \dots, x_{i-r+1}) - L^{(r-1-j)}(x_i, f; x_{i+1}, x_i, \dots, x_{i-r+2})| \leq \\
 & \leq h_i^{-r+1+j} (r-1)^{2(r-1-j)} \times \\
 & \times \|L(x, f; x_i, x_{i-1}, \dots, x_{i-r+1}) - L(x, f; x_{i+1}, x_i, \dots, x_{i-r+2})\|_{C[x_i, x_{i-1}]} \leq \\
 & \leq C(r) h_i^{-r+1+j} \omega(f, \Delta_n(x_i), [x_{i+1}, x_{i-r+1}]). \tag{26}
 \end{aligned}$$

Now we choose μ , ξ and ζ so that the conditions of Lemma 8 are valid. For example, let $\mu = 36r$ and $0 \leq \xi, \zeta \leq 1$.

The inequalities (26), (21) and (10) imply the estimate

$$\begin{aligned}
 |p_n(x) - S(x)| & \leq \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} \frac{1}{(r-1-j)!} |S^{(r-1-j)}(x_i + 0) - S^{(r-1-j)}(x_i - 0)| \cdot \\
 & \cdot |R_{i,r-j-2}(x) - (x - x_i)_+^{-j-1}| \leq \\
 & \leq \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} C(r) h_i^{-r+j+1} \omega(f, \Delta_n(x_i), [x_{i+1}, x_{i-r+1}]) \Psi_i^{60r} h_i^{r-j-1} \leq \\
 & \leq C(r) \sum_{i=1}^{n-1} \omega(f, \Delta_n(x_i), [x_{i+1}, x_{i-r+1}]) \Psi_i^{60r} \leq \\
 & \leq C(r) \sum_{i=1}^{n-1} \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x_i)^{1-\lambda}) \Psi_i^{60r}.
 \end{aligned}$$

Taking into account Lemma 6 and also the inequalities (see [13,14])

$$\Delta_n^2(y) < 4\Delta_n(x)(|x - y| + \Delta_n(x))$$

and

$$2(|x - y| + \Delta_n(x)) > |x - y| + \Delta_n(y) > \frac{1}{2}(|x - y| + \Delta_n(x)), \quad x \in I, y \in I$$

we have

$$\begin{aligned}
 & \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x_i)^{1-\lambda}) \leq \\
 & \leq \omega_\varphi^\lambda(f, n^{-\lambda} (4\Delta_n(x)(|x - x_i| + \Delta_n(x)))^{(1-\lambda)/2}) = \\
 & = \omega_\varphi^\lambda \left(f, n^{-\lambda} \Delta_n(x)^{1-\lambda} \left(4 \cdot \frac{|x - x_i| + \Delta_n(x)}{\Delta_n(x)} \right)^{(1-\lambda)/2} \right) \leq \\
 & \leq C(r) \left(4 \cdot \frac{|x - x_i| + \Delta_n(x)}{\Delta_n(x)} \right)^{r(1-\lambda)} \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}) \leq \\
 & \leq C(r) \left(\frac{|x - x_i| + \Delta_n(x_i)}{\Delta_n(x_i)} \right)^{2r(1-\lambda)} \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}) \leq \\
 & \leq C(r) \Psi_i^{-2r} \omega_\varphi^\lambda(f, n^{-\lambda} \Delta_n(x)^{1-\lambda}), \quad x \in I. \tag{27}
 \end{aligned}$$

Now using (27) one has the following inequalities

$$\begin{aligned} |p_n(x) - S(x)| &\leq C(r)\omega_\varphi^\lambda(f, n^{-\lambda}\Delta_n(x)^{1-\lambda}) \sum_{i=1}^{n-1} \Psi_i^{48r} \leq \\ &\leq C(r)\omega_\varphi^\lambda(f, n^{-\lambda}\Delta_n(x)^{1-\lambda}), \quad x \in I. \end{aligned}$$

This completes the proof of (25) and, therefore, of (6).

For the proofs of the inequalities (7) and (8) we need the following proposition.

Proposition F (see [15, Lemma 1.4.2]). *For the function $f \in C^k[x_j, x_{j-r+1}]$, $k \in \mathbb{N}$, $j \geq r-1 \geq k$ the following inequality holds*

$$\begin{aligned} |f^{(k)}(x) - L^{(k)}(x, f; x_j, x_{j-1}, \dots, x_{j-r+1})| &\leq \\ &\leq C(r)\omega^{-k}(f^{(k)}, h_j, [x_j, x_{j-r+1}]), \quad x \in [x_j, x_{j-r+1}]. \end{aligned} \quad (28)$$

Recalling the construction of the spline $S(x)$, that is,

$$S(x) := L(x, f; x_j, x_{j-1}, \dots, x_{j-r+1}), \quad x \in I_j, \quad j = \overline{r-1, n},$$

$$S(x) := L(x, f; x_{r-1}, x_{r-2}, \dots, x_0), \quad \text{for the other } x,$$

and using Proposition F we conclude that for $x \in I_j$

$$|f^{(v)}(x) - S^{(v)}(x)| \leq C(r)\omega^{r-v}(f^{(v)}, \Delta_n(x), [x_j, x_{j-r+1}]),$$

where $v = 1$ or 2 when $f \in C^1(I)$ or $f \in C^2(I)$, respectively, and

$$[x_j, x_{j-r+1}] := [x_{r-1}, x_0] \quad \text{if } j < r-1.$$

Hence it follows from Lemma 5 that

$$|f^{(v)}(x) - S^{(v)}(x)| \leq C(r)\omega_\varphi^{r-v}(f^{(v)}, n^{-\lambda}\Delta_n(x)^{1-\lambda}), \quad x \in I.$$

It remains to estimate $|p^{(v)}(x) - S^{(v)}(x)|$.

It follows from (26) that for $f \in C^v(I)$ the estimate

$$\begin{aligned} |S^{(r-1-j)}(x_i + 0) - S^{(r-1-j)}(x_i - 0)| &\leq \\ &\leq C(r)h_i^{-r+1+j+v}\omega^{r-v}(f^{(v)}, \Delta_n(x_i), I_{i+1} \cup \dots \cup I_{i-r+2}) \end{aligned}$$

is valid, and therefore by (10)

$$\begin{aligned} |S^{(r-1-j)}(x_i + 0) - S^{(r-1-j)}(x_i - 0)| &\leq \\ &\leq C(r)h_i^{-r+1+j+v}\omega_\varphi^{r-v}(f^{(v)}, n^{-\lambda}\Delta_n(x_i)^{1-\lambda}), \quad v = 1 \text{ or } 2. \end{aligned}$$

Now using Lemma 8 and the same arguments as for (27) we obtain the following estimate for any $x \in I$

$$\begin{aligned} |P_n^{(v)}(x) - S^{(v)}(x)| &\leq \\ &\leq \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} \frac{1}{(r-1-j)!} |S^{(r-1-j)}(x_i + 0) - S^{(r-1-j)}(x_i - 0)| \times \\ &\times \left| R_{i, r-j-2}^{(v)}(x) - \frac{\mathcal{P}^v}{\partial x^v}(x - x_i)_+^{-j-1} \right| \leq \end{aligned}$$

$$\begin{aligned} &\leq \sum_{i=1}^{n-1} \sum_{j=0}^{k_i-1} C(r) h_i^{-r+j+1+v} \omega_{\varphi}^{r-v}(f^{(v)}, n^{-\lambda} \Delta_n(x_i)^{1-\lambda}) \Psi_i^{60r} h_i^{r-j-1-v} \leq \\ &\leq C(r) \omega_{\varphi}^{r-v}(f^{(v)}, n^{-\lambda} \Delta_n(x)^{1-\lambda}) \sum_{i=1}^{n-1} \Psi_i^{48r} \leq \\ &\leq C(r) \omega_{\varphi}^{r-v}(f^{(v)}, n^{-\lambda} \Delta_n(x)^{1-\lambda}). \end{aligned}$$

Therefore for any $x \in I$ and $v = 1$ or 2

$$|f^{(v)}(x) - p_n^{(v)}(x)| \leq C(r) \omega_{\varphi}^{r-v}(f^{(v)}, n^{-\lambda} \Delta_n(x)^{1-\lambda}).$$

The proof of Theorem 4 is now complete.

6. Convex polynomial approximation

Theorem 1 would be proved as long as we chose a convex spline $S(x)$ to be constructed so that for $x \in I_j$, $S(x) = L(x, f; x_j, x_{j-1}, x_{j-2})$ with $|j - j| \leq \text{const}$ and convex polynomial $p_n(x)$ in the same form as the polynomial in the proof of Theorem 4.

Such spline and polynomial are presented in [9].

Namely,

$$S(x) = \max\{L(x, f; x_j, x_{j-1}, x_{j-2}), L(x, f; x_{j+1}, x_j, x_{j-1})\},$$

$$x \in I, \quad j = \overline{2, n-1},$$

$$S(x) = L(x, f; x_2, x_1, x_0), \quad x \in I_1,$$

and

$$S(x) = L(x, f; x_n, x_{n-1}, x_{n-2}), \quad x \in I_n,$$

or in its analytic representation

$$\begin{aligned} S(x) = & f(-1) + S'(-1)(x+1) + \frac{1}{2} S''(-1)(x+1)^2 + \\ & + \sum_{\substack{i=\overline{2, n-1} \\ x_i \in \text{I} \cup \text{III}}} A_i \{h_i(x-x_i)_+ - (x-x_i)_+^2\} + \\ & + \sum_{\substack{i=\overline{1, n-2} \\ x_i \in \text{II} \cup \text{III}}} B_i \{h_{i+1}(x-x_i)_+ + (x-x_i)_+^2\}, \end{aligned}$$

where $A_i = [x_{i+1}, x_i, x_{i-1}; f] - [x_i, x_{i-1}, x_{i-2}; f]$, $i = \overline{2, n-1}$ and $B_i = -A_{i+1}$ for $i = \overline{1, n-2}$.

There exists such an absolute constant M that if $n_1 = Mn$, and i_1 is chosen so that $x_{i_1(n_1)} = x_i$, then the polynomial

$$p_n(x) = f(-1) + S'(-1)(x+1) + \frac{1}{2} S''(-1)(x+1)^2 +$$

$$\begin{aligned}
& + \sum_{\substack{i=2, n-1 \\ x_i \in \text{I} \cup \text{III}}} A_i \{h_i R_{i, (n_i), 0}(0, 0, 9)(x) - R_{i, (n_i), 1}(1, 0, 10)(x)\} + \\
& + \sum_{\substack{i=1, n-2 \\ x_i \in \text{II} \cup \text{III}}} B_i \{h_{i+1} R_{i, (n_i), 0}(0, 0, 9)(x) - R_{i, (n_i), 1}(0, 1, 10)(x)\}
\end{aligned}$$

is convex on I .

All the necessary proofs and definitions (for example, $x_i \in \text{I} \cup \text{III}$ and $x_i \in \text{II} \cup \text{III}$) can be found in [9].

Now the same arguments as in section 5 can be used to verify the inequalities (3)–(5).

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